Introduction to Fourier Transform Infrared Spectroscopy

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Introduction

What is FTIR?

FTIR stands for Fourier transform infrared, the preferred method of infrared spectroscopy. In infrared spectroscopy, IR radiation is passed through a sample. Some of the infrared radiation is absorbed by the sample, and some of it is passed through (transmitted). The resulting spectrum represents the molecular absorption and transmission, creating a molecular fingerprint, of the sample. Like a fingerprint no two unique molecular structures produce the same infrared spectrum. This makes infrared spectroscopy useful for several types of analysis.

So, what Information can FTIR Provide?

- It can identify unknown materials
- It can determine the quality or consistency of a sample
- It can determine the amount of components in a mixture

This booklet is an introduction to the concepts behind FTIR spectroscopy. It covers both the basic theory of FTIR and how it works as well as discussing some of the practical aspects of FTIR use. We hope that it gives you a good understanding of the importance and usefulness of this powerful technique.



Theory of FTIR

Why infrared spectroscopy?

Infrared spectroscopy has been a workhorse technique for materials analysis in the laboratory for over seventy years. An infrared spectrum represents a fingerprint of a sample with absorption peaks which correspond to the frequencies of vibrations between the bonds of the atoms making up the material. Because each material is a unique combination of atoms, no two compounds produce the exact same infrared spectrum. Therefore, infrared spectroscopy can result in a positive identification (qualitative analysis) of every different kind of material. In addition, the size of the peaks in the spectrum is a direct indication of the amount of material present. With modern software algorithms, infrared is an excellent tool for quantitative analysis.

Older technology

The original infrared instruments were of the dispersive type. These instruments separated the individual frequencies of energy emitted from the infrared source. This was accomplished by the use of a prism or grating. An infrared prism works exactly the same as a visible prism which separates visible light into its colors (frequencies). A grating is a more modern dispersive element that better separates the frequencies of infrared energy. The detector measures the amount of energy at each frequency that has passed through the sample. This results in a spectrum which is a plot of intensity vs. frequency.

Fourier transform infrared spectroscopy is preferred over dispersive or filter methods of infrared spectral analysis for several reasons:

- It is a non-destructive technique
- It provides a precise measurement method that requires no external calibration
- It can increase speed, collecting a scan every second
- It can increase sensitivity one second scans can be co-added together to ratio out random noise
- It has greater optical throughput
- It is mechanically simple with only one moving part

Why FTIR?

Fourier transform infrared (FTIR) spectrometry was developed to overcome the limitations encountered with dispersive instruments. The main difficulty was the slow scanning process. A method for measuring all of the infrared frequencies simultaneously rather than individually was needed. A solution was developed which employed a very simple optical device called an interferometer. The interferometer produces a unique type of signal which has all of the infrared frequencies "encoded" into it. The signal can be measured very quickly, usually on the order of one second or so. Thus, the time element per sample is reduced to a matter of a few seconds rather than several minutes.

Most interferometers employ a beamsplitter which takes the incoming infrared beam and divides it into two optical beams. One



beam reflects off of a flat mirror that is fixed in place. The other beam reflects off of a flat mirror which is on a mechanism that allows this mirror to move a very short distance (typically a few millimeters) away from the beamsplitter. The two beams reflect off of their respective mirrors and are recombined when they meet back at the beamsplitter. Because the path that one beam travels is a fixed length and the other is constantly changing as its mirror moves, the signal that exits the interferometer is the result of these two beams "interfering" with each other. The resulting signal is called an interferogram which has the unique property that every data point (a function of the moving mirror position) which makes up the signal has information about every infrared frequency which comes from the source.

This means that as the interferogram is measured, all frequencies are being measured simultaneously. Thus, the use of the interferometer results in extremely fast measurements.

Because the analyst requires a frequency spectrum (a plot of the intensity at each individual frequency) to make an identification, the measured interferogram signal can not be interpreted directly. A means of "decoding" the individual frequencies is required. This can be accomplished via a well-known mathematical technique called the Fourier transformation. This transformation is performed by the computer, which then presents the user with the desired spectral information for analysis.



The sample analysis process

The normal instrumental process is as follows:

- 1. The source: Infrared energy is emitted from a glowing black-body source. This beam passes through an aperture that controls the amount of energy presented to the sample (and, ultimately, to the detector).
- 2. The interferometer: The beam enters the interferometer where the "spectral encoding" takes place. The resulting interferogram signal then exits the interferometer. The interferometer uses a reference laser for precise wavelength calibration, mirror position control, and data acquisition timing.
- 3. The sample: The beam enters the sample compartment, where it is transmitted through or reflected off of the surface of the sample, depending on the type of analysis being accomplished. This is where specific frequencies of energy, which are uniquely characteristic of the sample, are absorbed.
- 4. The detector: The beam finally passes to the detector for final measurement. The detectors used are specially designed to measure the special interferogram signal.
- 5. The computer: The measured signal is digitized and sent to the computer where the Fourier transformation takes place. The final infrared spectrum is then presented to the user for interpretation and any further manipulation.

Because there needs to be a relative scale for the absorption intensity, a background spectrum must also be measured. This is normally a measurement with no sample in the beam. This can be compared to the measurement with the sample in the beam to determine the "percent transmittance." This technique results in a spectrum which has all of the instrumental characteristics removed. Thus, all spectral features which are present are strictly due to the sample. A single background measurement can be used for many sample measurements because this spectrum is characteristic of the instrument itself.



A simple spectrometer layout



Advantages of FTIR

Some of the major advantages of FTIR over the dispersive technique include:

- **Speed:** Because all of the frequencies are measure simultaneously, most FTIR measurements are made in seconds rather than several minutes.
- Sensitivity: Sensitivity is dramatically improved with FTIR for many reasons. The detectors employed are much more sensitive, the optical throughput is much higher (referred to as the Jacquinot Advantage) which results in much lower noise levels, and the fast scans enable the co-addition of several scans in order to reduce the random measurement noise to any desired level (referred to as signal averaging).
- **Mechanical simplicity:** The moving mirror in the interferometer is the only continuously moving part in the instrument. Thus, there is very little possibility of mechanical breakdown.
- Internally calibrated: These instruments employ a HeNe reference laser as an internal wavelength calibration standard (referred to as the Connes Advantage). These instruments are self-calibrating and never need to be calibrated by the user.

These advantages, along with several others, make measurements made by FTIR extremely accurate and reproducible. Thus, it is a very reliable technique for the positive identification of virtually any sample. The sensitivity benefits enable identification of even the smallest of contaminants. This makes FTIR an invaluable tool for quality control or quality assurance applications whether it be batch-to-batch comparisons to quality standards or analysis of an unknown contaminant. In addition, the sensitivity and accuracy of FTIR detectors, along with a wide variety of software algorithms, have dramatically increased the practical use of infrared for quantitative analysis. Quantitative methods can be easily developed, calibrated, and can be incorporate into simple procedures for routine analysis.

Thus, the Fourier transform infrared (FTIR) technique has brought significant practical advantages to infrared spectroscopy. It has made possible the development of many new sampling techniques which were designed to tackle challenging problems that were impossible by older technology. It has made the use of infrared analysis virtually limitless.



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